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# Broadband and Hemispherical Coverage Characteristics of Compact and Asymmetric Dipole Array for Millimeter-Wave Portable Applications

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**ABSTRACT** This article presents a compact and asymmetric dipole array (CADA) fabricated on a single-layer FR-4 PCB featuring hemispherical coverage across a broadband spectrum for the millimeter-wave (mmWave) portable applications. The compensation effect between inter-element capacitance and inductance of the proposed CADA can exhibit a high coupling level based on leaky-wave radiation behavior in a 1-D linear array with  $0.3\lambda_0$  spacing (@ operating lowest freq.). However, it is possible to maintain a wide impedance matching bandwidth due to the absence of balun circuitry that can limit the operating bandwidth of the antenna. To investigate the influence of compression on the proposed 8-element CADA, array antennas with different inter-element spacing and T-junction power dividers, including the predetermined phased delay in each antenna element, are designed and characterized. In addition, high-precision compensation techniques for obtaining the accurate insertion loss of the T-junction power dividers are demonstrated to estimate the directivity of the stand-alone antenna array with different inter-element spacing in three different beam-steering scenarios. Afterward, the fabricated array antennas are characterized by their radiation patterns and beam scan range within 3-dB scan loss in the frequency range from 25 to 35 GHz, and over-the-air (OTA) results. Despite the compressed element configuration without additional baluns or decoupling circuits, the proposed array, based on an extremely low-cost fabrication process, realizes hemispherical coverage in the frequency range from 25 to 35 GHz. In addition, the proposed array exhibits lower than 9% error vector magnitude (EVM) at 4.8 Gbps maximum data rates.

**INDEX TERMS** Millimeter-wave antenna arrays, compact antennas, end-fire antennas, broadband antennas, spherical beam coverage.

## I. INTRODUCTION

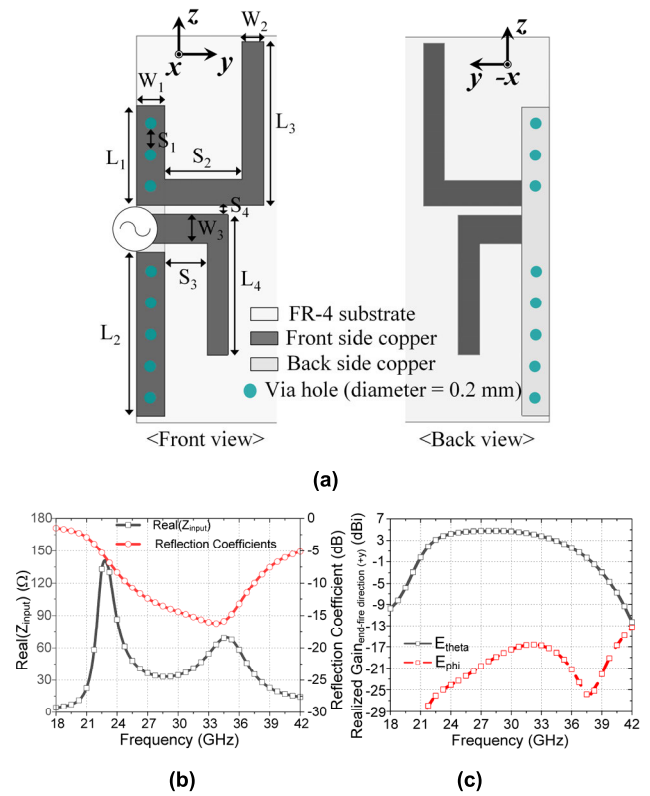
TO INCREASE the coverage and spectrum efficiency in the millimeter-wave/sub-terahertz (mmWave/sub-THz) spectrum despite the physical threshold properties of active RF components, compact phased-array antenna modules have been spotlighted [1], [2], [3], [4], [5], [6]. The phased-array antenna modules consist of lots of antenna elements, beamforming IC (BFIC) chipsets, and feeding

networks [3], [6], [7]. However, conventional phased-array antenna modules still suffer from a trade-off among the overall development cost, form factor, RF performance linked to materials or fabrication, and measurement techniques [6], [7], [8], [9], [10], [11]. Recently, the commercial standalone complementary metal oxide semiconductor (CMOS) BFIC chipsets featuring cost-effectiveness have been developed to realize comparable RF performance, without any

usage of compound semiconductor chipsets in terms of overall figure-of-merit (FoM) [6], [11], [12], [13]. Nevertheless, to support both remarkable RF performance and cost-effectiveness within a small form factor, the phased array antenna techniques are still a key challenging issue in mmWave/sub-THz portable applications [11], [12], [13], [14], [15], [16], [17], [18], [19], [20].

The performance of phased array antennas in the mmWave/sub-THz spectrum can be deteriorated due to two critical reasons related to the fabrication process techniques and material properties [6], [7]. First, the conventional fabrication process techniques suffer from conflicting constraints, such as fabrication resolution, the number of layers, the thickness of metal and dielectric layers, reliability issues, and production cost. Second, the dielectric loss from the substrate material can be significantly increased at high frequencies. Especially, the stringent electrical properties of package material should be required due to the limitation of conventional package platforms via high insertion loss of the transmission lines in the sub-THz spectrum [16], [17]. Moreover, the antenna modules integrating with high-frequency BFIC chipsets inherently exhibit high insertion loss of feeding networks, crosstalk issues between adjacent signal paths and power paths, and various signal integrity (SI) problems [12], [13], [14], [15]. Eventually, during the verification and product quality control stages, the entire phased array antenna modules fully integrating with BFIC chipsets suffer from severe performance degradation and an unpredictable link budget due to lots of complex issues in each sub-part [8], [9], [11], [12], [13], [14], [15].

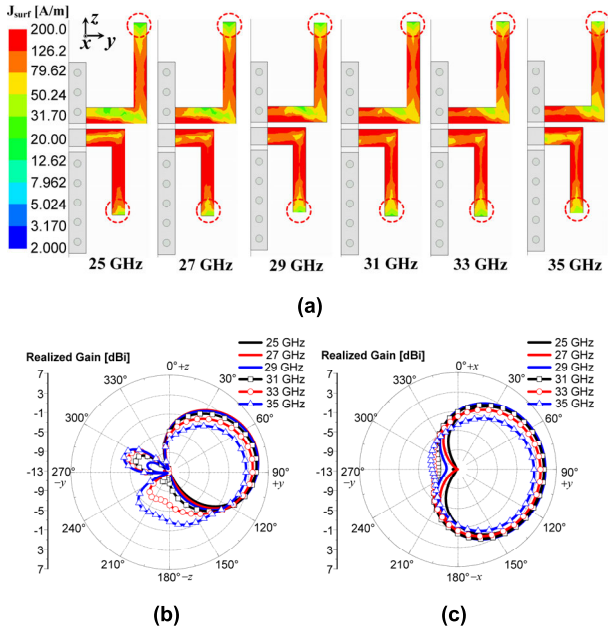
Another key issue with phased array antennas is reducing mutual coupling between adjacent elements, which ultimately improves beam-steerable scanning performance (e.g., scan loss mitigation and grating lobe suppression) across the broadband spectrum [3], [21], [22], [23]. Conventional research on achieving good scanning performance has been categorized by using conformal array configurations, array configurations with bulky high-impedance surfaces (HIS) or decoupling circuits, and pattern-reconfigurable elements that utilize additional active RF components [10], [19], [21], [22], [23], [24], [25], [26]. Recently, compact, cost-effective mmWave antennas exhibiting broadband operation have been studied using planar HIS fabricated on a single-layer FR-4 PCB, despite the absence of balun circuits [27], [28], [29], [30]. In addition, to remove any decoupling circuits, the tightly coupled dipole antennas (TCDA) in phased array applications, which feature high mutual coupling characteristics, have been actively investigated [31], [32], [33], [34]. The state-of-the-art tightly coupled dipole array (TCDA) can be categorized by two common fundamental electromagnetic characteristics: a high mutual coupling level between elements and, similarly, acts as a compact (e.g., CRLH) meta-material 2-D array with subwavelength properties [31], [32], [33], [34], [43], [44], [45]. Nevertheless, a compact planar antenna in a 1-D linear array without baluns and decoupling circuits should be required to achieve hemispherical coverage



**FIGURE 1.** Single element of planar asymmetric dipole antenna. (a) Configuration ( $w_1 = 0.48$  mm,  $w_2 = 0.36$  mm,  $w_3 = 0.5$  mm,  $L_1 = 1.7$  mm,  $L_2 = L_3 = 2.8$  mm,  $L_4 = 2.4$  mm,  $S_1 = 0.34$  mm,  $S_2 = 1.32$  mm,  $S_3 = 0.72$  mm,  $S_4 = 0.15$  mm). (b) Simulated the real part of the input impedance and reflection coefficient. (c) Simulated realized gain toward end-fire direction (+y) @ two linear polarizations.

across the broadband spectrum for mmWave portable applications. Eventually, the new conceptual antenna in a 1-D linear array configuration should be investigated, exhibiting a high mutual coupling mechanism via leaky-wave behavior, with balun-free and decoupling-free.

In this work, the compact and asymmetric dipole array (CADA) concepts are presented and investigated using the cost-effective single-layer FR-4 PCB process to realize spherical coverage in the mmWave broadband spectrum and perform high-precision tests. First, the proposed CADA consists of inter-element capacitance and inductance between adjacent elements featuring a high mutual coupling level, and can be demonstrated by the coupling mechanism via leaky-wave behavior in a 1-D linear array. Moreover, due to high mutual coupling levels, the balun-free, decoupling-circuit-free, and low-loss compressed feeding networks, the proposed CADA array with the most compressed configurations can exhibit wide-angle scanning capability over an ultra-wide spectrum. Afterward, high-precision compensation techniques of insertion loss of feeding networks are demonstrated to estimate the directivity of antenna arrays for different beam steering scenarios. The fabricated antennas with different inter-element spacings for utilizing the compression effects in mmWave portable apparatus are characterized and investigated for fast and precise optimized cell coverage by comparing both measured radiation patterns



**FIGURE 2.** Single element of planar asymmetric dipole antenna. (a) Simulated current distributions vs. different frequencies. (b) Simulated yz-cut ( $\phi = 90^\circ$ ) radiation patterns vs. different frequencies @  $E_{\theta}$ -pol. (c) Simulated xy-cut ( $\theta = 90^\circ$ ) radiation patterns vs. different frequencies @  $E_{\theta}$ -pol.

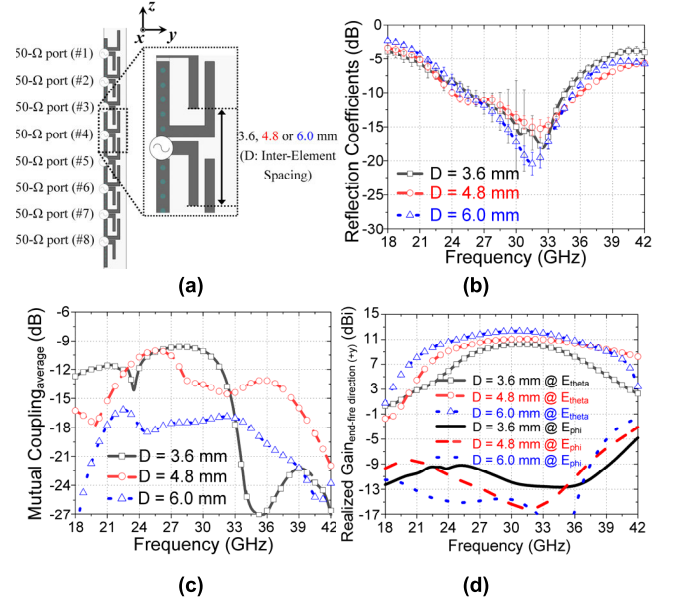
and over-the-air (OTA) performance results at the same time [12], [13], [29], [39]. In conclusion, despite the miniaturized configuration with 3.6 mm ( $0.3\lambda_0$ ) inter-element spacing (@ operating lowest freq.) and the absence of baluns or additional decoupling circuits, the proposed CADA arrays based on an extremely low-cost fabrication process achieve hemispherical coverage in K-/Ka-band.

## II. COMPACT PHASED-ARRAY DESIGN

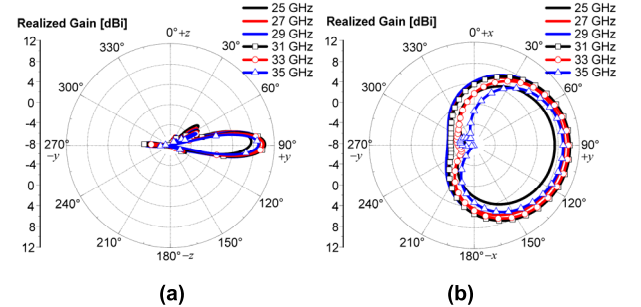
In this section, the compact phased array and feeding networks are designed and investigated utilizing a single element of the CADA containing T-junction power dividers with different inter-element spacings. In addition, the coupling mechanism via leaky-wave radiation behavior in the proposed 1-D CADA is also discussed in detail.

### A. SINGLE ELEMENT OF COMPACT AND ASYMMETRIC DIPOLE ANTENNA

As depicted in Fig. 1(a), the proposed antenna element is integrated into a single-layer FR-4 substrate that features dimensions of  $0.4 \text{ mm} \times 1.8 \text{ mm} \times 5.2 \text{ mm}$  and a relative permittivity of 4.4. Because the input impedance of the state-of-the-art TCDA is typically above  $100\text{-}\Omega$ , bulky auxiliary feeding circuits, such as broadband impedance transformers and baluns, should be needed [31], [32], [33], [34]. However, in this work, asymmetric dipole element arms without any auxiliary feeding circuits in a single-layer FR-4 PCB are designed to induce inter-element capacitance and compensate for the inductance of dipole radiators over a broad bandwidth [33], [34], [35]. Moreover, without any auxiliary circuits, the



**FIGURE 3.** 8 Array elements with ideal 50- $\Omega$  feeding ports. (a) Configuration of array antennas with three different spacing between elements. (b) Simulated reflection coefficients (line: average value between ports, error bar: standard deviation between ports). (c) Simulated average value of mutual coupling between each adjacent port. (d) Simulated realized gain toward end-fire direction (+y) @ two linear polarizations.

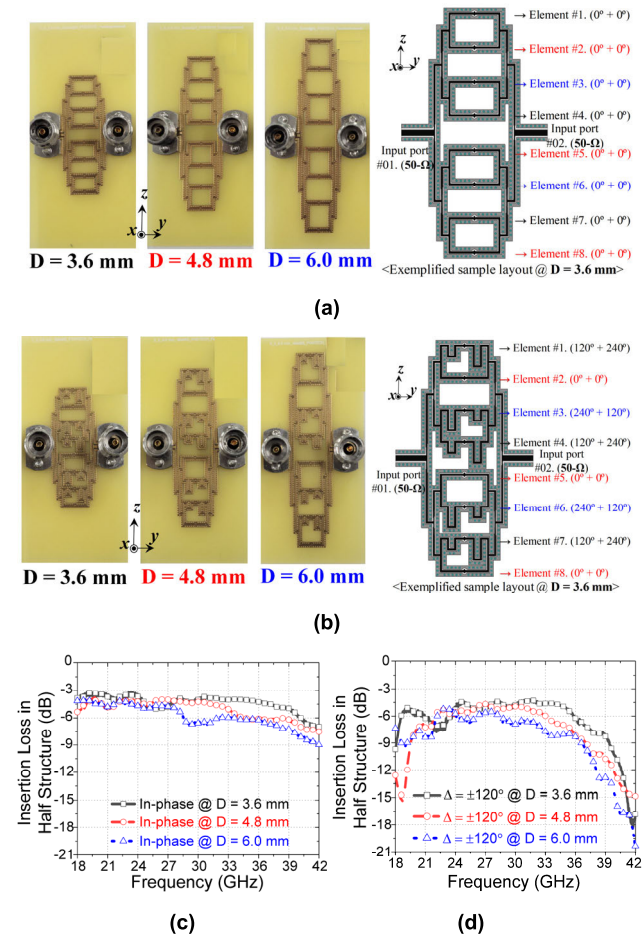


**FIGURE 4.** 8 Array elements of planar asymmetric dipole antenna with ideal 50- $\Omega$  feeding ports @  $D = 3.6 \text{ mm}$  (worst case of mutual coupling level). (a) Simulated yz-cut ( $\phi = 90^\circ$ ) vs. different frequencies @  $E_{\theta}$ -pol. (b) Simulated xy-cut ( $\theta = 90^\circ$ ) vs. different frequencies @  $E_{\theta}$ -pol.

real part of the input impedance of the proposed antenna is  $35\sim 70\text{-}\Omega$  in the frequency range from 25 to 35 GHz, and Fig. 1(b) illustrates the simulated real part of the input impedance of the antenna element and the simulated reflection coefficient of the antenna element.

Figs. 1(c)&2(a)-(c) present the radiation characteristics and surface current distributions of the proposed antenna across the broadband spectrum, respectively. First, the antenna acts similarly to a typical half-wavelength dipole radiation mode without any balun circuitry across the broadband spectrum, as illustrated in the current distributions of Fig. 2(a). The currents at all frequencies are along the z-direction, and peak currents around the center of the antenna are observed. Moreover, the high impedance condition at the edge positions can be checked. Second, due to the inserted-via-wall structures functioning as an effective reflector, the proposed antenna exhibits a high realized gain toward



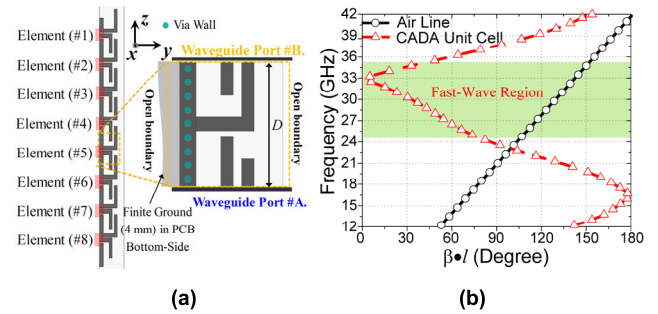


**FIGURE 5.** Photograph and detailed phase mapping of a back-to-back configuration for T-junction power dividers exhibiting an in-phase difference among all elements. (b) Photograph and detailed phase mapping of a back-to-back configuration for T-junction power dividers exhibiting  $\Delta$ (phase delay between adjacent elements) =  $\pm 120^\circ$  @ 29 GHz [29]. (c) Measured insertion loss in each half structure of three samples of Fig. 5(a). (d) Measured insertion loss in each half structure of three samples of Fig. 5(b) [29].

end-fire direction (+y) in horizontal polarization ( $E_{\theta}$ -polarization) across the broadband spectrum (25~35 GHz), as depicted in Figs. 1(c)&2(b)-(c). Moreover, Fig. 1(c) illustrates that the radiation intensity difference toward an end-fire direction (+y) between co-polarization ( $E_{\theta}$ -polarization) and cross-polarization ( $E_{\phi}$ -polarization), which is utilized as the radiation performance criterion, is more than 18.0 dB in the frequency range from 25 to 35 GHz [36]. Thus, due to the absence of any auxiliary feeding circuits that can limit antenna performance, the proposed antenna element can achieve high gain toward the end-fire direction (+y) in horizontal polarization across a broadband spectrum.

## B. PHASED ARRAY WITH VARIOUS INTER-ELEMENT SPACINGS

To discuss the influence of compression on the proposed CADA in a FR-4 substrate exhibiting highly dielectric lossy media ( $\tan\delta$ : 0.032 @ 28 GHz), the performance of eight elements with three different inter-element spacing ( $D$ ) is

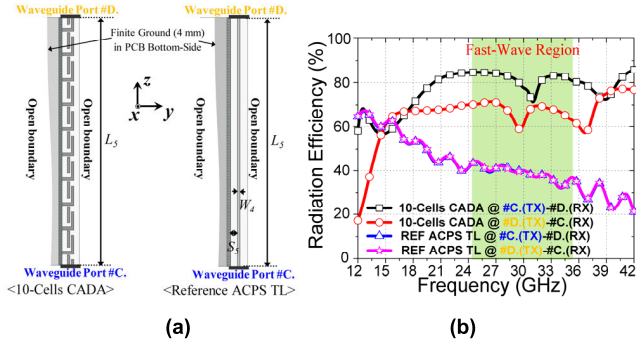


**FIGURE 6.** (a) Configuration and simulation setup of the 1-D unit cell to investigate coupling interaction of the proposed CADA ( $D = 3.6$  mm). (b) Dispersion diagram of the proposed CADA unit cell ( $D = 3.6$  mm).

examined (illustrated in Fig. 3) [27]. Meanwhile, 3.6 mm ( $0.3\lambda_0$ ) can be regarded as the shortest inter-element spacing within a range with no physical overlap between adjacent elements, and finally causes high mutual coupling. By activating ideally in-phase 50- $\Omega$  ports of all elements in ANSYS HFSS simulation, the simulated S-parameters and radiation performance of array antennas with three different spacing are compared in order to investigate the mutual coupling effect, as depicted in Figs. 3-4 [27], [37].

Despite relatively higher mutual coupling levels up to  $-9.5$  dB (average isolation value ( $S_{ij}$  @  $i=j+1$ ) between each adjacent port) in 3.6 mm spacing, the computed impedance bandwidth is rarely changed as compared with those of the antenna in other spacing, under  $-10$ -dB reflection coefficients, in Figs. 3(b)-(c) [27]. In addition, as illustrated in Fig. 3(d), the simulated realized gain toward an end-fire direction (+y) in the eight elements with 3.6 mm spacing is above 7.0 dBi in the operating frequency range from 25 to 35 GHz. Moreover, the proposed 8-element antenna array can exhibit fan-beam characteristics toward the end-fire direction (+y) in Fig. 4. However, due to high mutual coupling effects, some degradation has been observed (e.g., simulated radiation patterns and radiation intensity difference toward end-fire direction (+y) between co-polarization and cross-polarization). Nevertheless, the proposed CADA on a highly dielectric lossy substrate can achieve high gain in broadband without inserting any additional decoupling structures.

Due to the high dielectric loss on an FR-4 substrate, feeding networks in a phased array with three different inter-element spacings should also be designed and characterized, as specifically investigated in [27], [28], [29], and [30]. Fig. 5 depicts the symmetrical configurations of identical T-shaped power dividers in the phased array consisting of three different spacings by integrating grounded coplanar waveguide (GCPW) transmission lines (TL) with via fences for reduction of leakage loss [27], [28], [29]. Moreover, in this paper, the symmetrical configurations of two T-shaped power dividers for boresight and maximum beam steering scenarios have been demonstrated. To estimate the accurate insertion loss of symmetrical configurations with T-shaped power dividers, each 8-section in the back-to-back samples should exhibit



**FIGURE 7.** (a) Simulation setup method to analyze the radiation coupling interaction of the proposed 10-cell CADA and the reference ACPS TL with identical  $L_5$  ( $w_4 = 0.36$  mm,  $L_5 = 36$  mm,  $S_5 = 1.04$  mm). (b) Simulated radiation efficiency (= leakage power / (leakage power + internal loss)) of the proposed 10-cell CADA and ACPS TL with identical  $L_5$  [28].

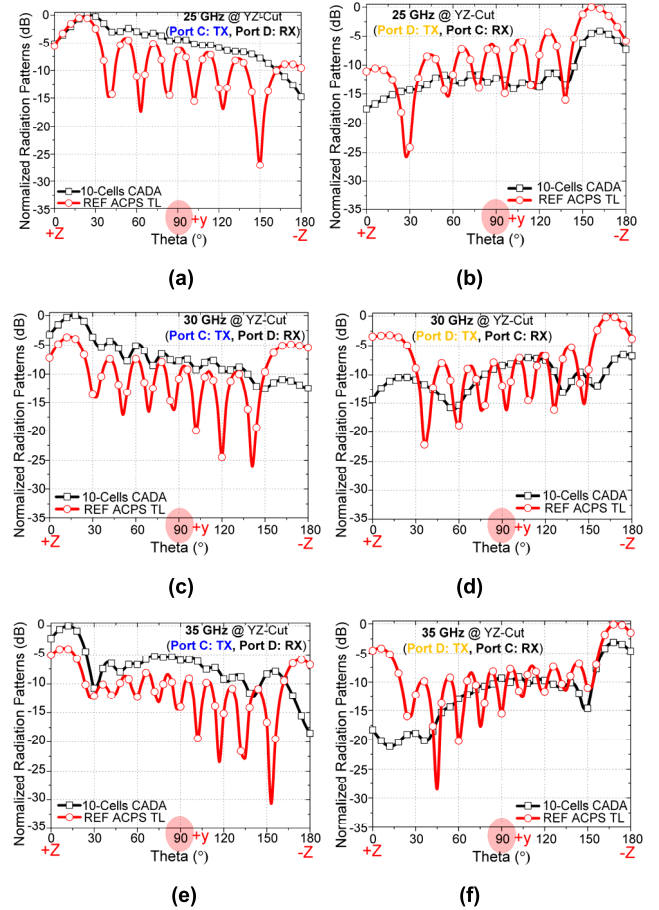
constructive interference conditions at a certain frequency (e.g., in-phase difference at 29 GHz as depicted in Figs. 5(a)-(b)) [29], [30], [31]. By measuring back-to-back samples, half of the insertion loss can be regarded as the insertion loss of the feeding networks in the proposed phased array. Figs. 3(c)-(d) presents a small degradation of radiation performance by high mutual coupling of the compressed array configurations. Nevertheless, as illustrated in Figs. 5(c)-(d), all compression feeding networks for boresight and maximum beam steering angle with 3.6 mm spacing exhibit lower insertion loss as compared to other feeding networks.

### C. COUPLING MECHANISM VIA LEAKY-WAVE RADIATION BEHAVIOR IN THE PROPOSED 1-D CADA

As already mentioned, the proposed 1-D CADA can become a promising candidate for mm-Wave portable applications due to lots of merits (e.g., low-cost fabrication process, balun-free, and decoupling-free configuration). However, the intrinsic coupling mechanism should be investigated from the point of view of the metamaterial 1-D array concept, as follows [36], [45], [46], [48].

First, to investigate the coupling mechanism in the metamaterial array concept, an adequate unit cell simulation setup is required for 1-D CADA featuring horizontal polarized end-fire radiation. In other words, the longitudinal electric fields-based guided-wave analysis method with two open boundary conditions (+y, -y) and two waveguide ports assignment (+z, -z) should be utilized in the proposed 1-D CADA unit cell setup of an asymmetric coplanar strip (ACPS)-based transmission line (TL) [45], [46].

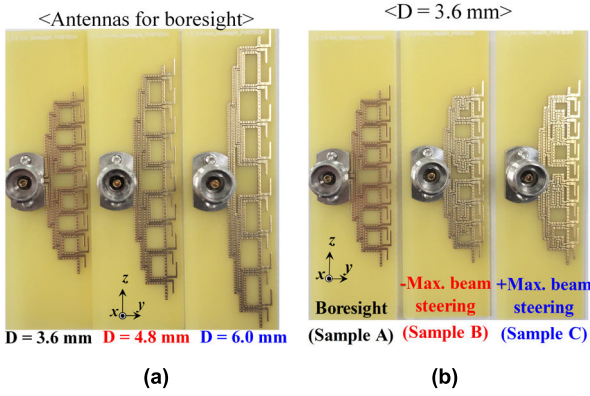
As specifically referred to [36] and [46], the 1-D CADA with 8 elements for the horizontally-polarized end-fire radiation characteristics can be simplified to a unit cell with an ACPS TL type. The modified unit cell of the proposed 1-D CADA consists of an asymmetric radiator and a finite ground plane with the via wall structures [46]. At that time, it can achieve the analysis of an actual radiation mechanism that is similar to the leaky-wave metamaterial array, by omitting any RF feedline, as illustrated in Fig. 6(a) [46]. Because the equivalent circuits of the proposed CADA unit cell within the



**FIGURE 8.** Simulated radiation patterns of the proposed 10-cell CADA and the reference ACPS TL with identical  $L_5$ . (a) 25 GHz and Port C(Active.)-Port D(Term.). (b) 25 GHz and Port D(Active.)-Port C(Term.). (c) 30 GHz and Port C(Active.)-Port D(Term.). (d) 30 GHz and Port D(Active.)-Port C(Term.). (e) 35 GHz and Port C(Active.)-Port D(Term.). (f) 35 GHz and Port D(Active.)-Port C(Term.).

compact ( $D = 3.6$  mm) spacing are similar to the equivalent metamaterial TL circuit of the conventional TCDA, the dispersion diagram of the unit cell including air-line can be obtained, as illustrated in Fig. 6(b) [43], [44]. Eventually, the desired operating band (25~35 GHz) of the 1-D CADA unit cell is within the fast-wave region, representing typical leaky-wave behavior. Moreover, the balanced frequency, which can be regarded as the center frequency within the open-stop band, is 32 GHz.

Second, the generalized setup solution for the asymmetric array configuration and special boundary issue is needed to analyze and discuss the detailed coupling interaction in the proposed 1-D CADA. Fig. 7(a) illustrates the simulation method used to analyze typical standing-wave and traveling-wave mechanisms, utilizing the proposed 10-cell CADA and the reference ACPS TL with an identical  $L_5$  ( $L_5 = 36$  mm). At that time, the proposed 10-cell CADA, including two edge dummy elements, can become an adequate setup solution to investigate the coupling interaction of the actual 8-element CADA sample with RF feeding networks in Figs. 9(a)-(b) [45]. Moreover, the impedance of the two waveguide ports

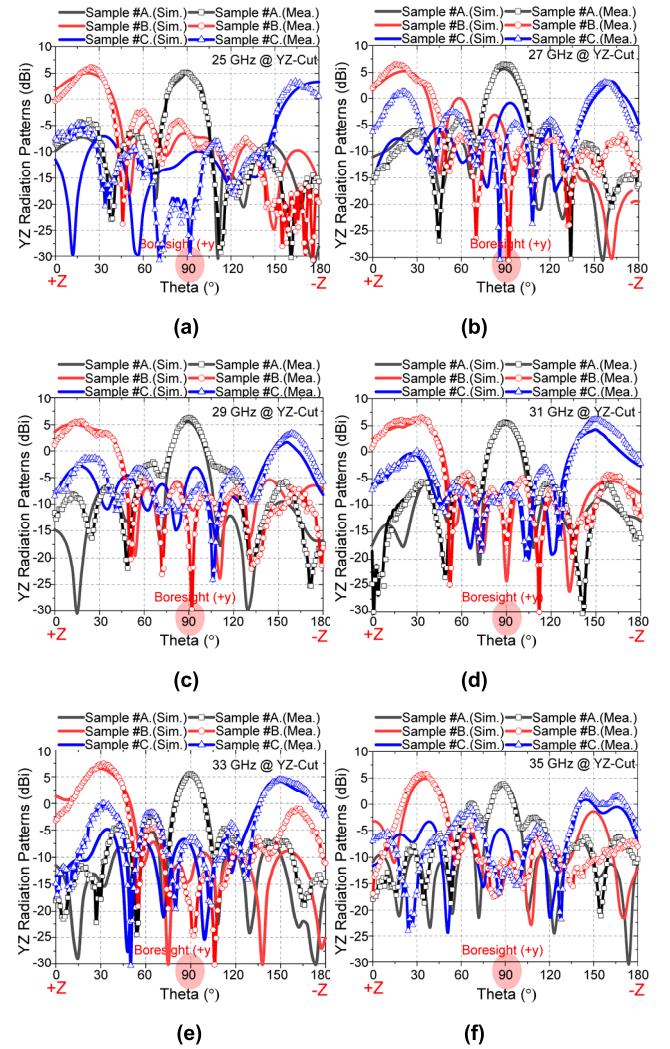


**FIGURE 9.** (a) Photograph of three samples of the fabricated antennas with different spacing for boresight direction. (b) Photograph of three samples of the fabricated antennas for three different beam directions at  $D = 3.6$  mm.

should be identical between the proposed 10-cell CADA and the reference ACPS TL to investigate the coupling interaction during effective radiation between elements, as illustrated in Fig. 7(a). The assigned port impedance of the CADA and the reference ACPS TL is  $100\text{-}\Omega$ . Therefore, the simulated radiation characteristics (e.g., radiation efficiency and normalized radiation patterns in E-Cut (yz-Cut)) between the two configurations can be compared to analyze the coupling mechanism leaky-wave behavior.

As referred to [28], the simulated radiation efficiency can be regarded as the leakage power ratio. Fig. 7(b) illustrates the simulated radiation efficiency of the proposed CADA and the reference ACPS TL by varying the activation and termination setup between two ports. Because the proposed CADA between two ports exhibits an asymmetric structure, the variation of the activation and termination setup should be compared and discussed. The proposed CADA can exhibit a higher leakage power ratio than the reference ACPS TL, and then can achieve the leaky-wave behavior and frequency-selective characteristic in the fast-wave region of Fig. 7(b). Additionally, the typical open-stop band effect near the balanced frequency (32 GHz) of the leaky-wave antenna is observed in the proposed CADA.

Finally, the detailed coupling interaction has been investigated during radiation between elements of the proposed 1-D CADA. The typical leaky-wave antenna can be categorized by the traveling-wave mechanism, and the typical TCDA can also exhibit broadband characteristics featuring high mutual coupling between active elements. In [46], because the ACPS TL-based leaky-wave antenna with a high attenuation constant can achieve a short effective radiation aperture within a smaller number of unit cells, the coupled power level between elements can be exponentially decreased. Apart from the standing-wave mechanism with lots of null points (scan blindness) in radiation patterns, the number of null points of the traveling-wave mechanism can be inherently reduced due to the exponential attenuation constant [45]. Moreover, the proposed 1-D CADA exhibits up to  $-9.5$  dB mutual coupling level, as illustrated in Fig. 3(c). So, Figs. 8(a)–(f) depicts the simulated radiation patterns of the proposed CADA and



**FIGURE 10.** Simulated & measured radiation patterns ( $E_{\theta}$ -pol.) of the fabricated antennas for beam steering test @  $D = 3.6$  mm. (a) 25 GHz. (b) 27 GHz. (c) 29 GHz. (d) 31 GHz. (e) 33 GHz. (f) 35 GHz.

the reference ACPS TL with identical  $L_5$ . In conclusion, because the CADA exhibits a gradual attenuation impact and high mutual coupling between elements, each array element consisting of the proposed antenna can be demonstrated for the coupling mechanism via leaky-wave radiation behavior.

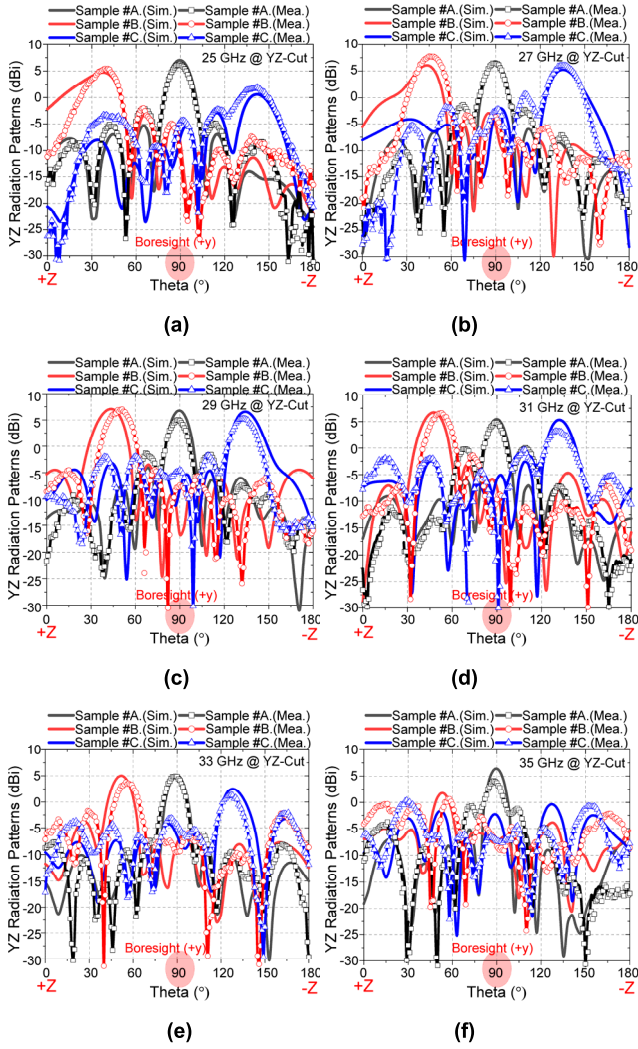
### III. VERIFICATION RESULTS OF THE PROPOSED ARRAY

This section illustrates and discusses the verification results of the proposed CADA phased array, such as measured radiation performance, simulated total scan pattern and coverage efficiency, measured OTA results, and a comparison table of state-of-the-art antennas featuring fan-beam characteristics toward the end-fire direction in mmWave spectrum.

#### A. SIMULATED AND MEASURED RADIATION PERFORMANCES

Fig. 9(a) illustrates three samples of the fabricated antennas with different inter-element spacing for boresight direction

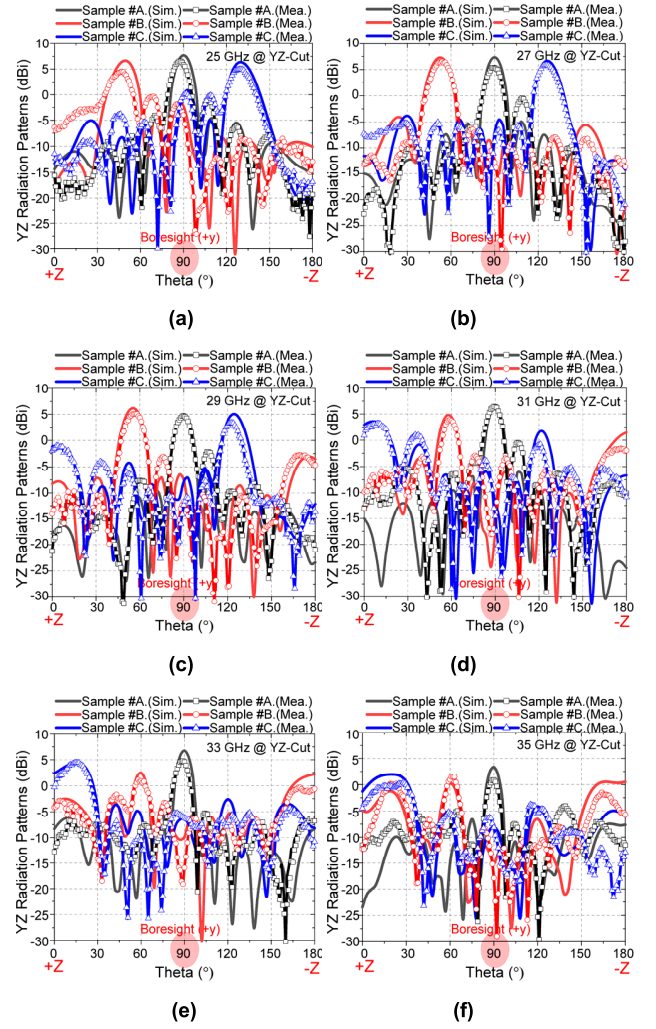




**FIGURE 11.** Simulated & measured radiation patterns ( $E_{\theta}$ -pol.) of the fabricated antennas for beam steering test @  $D = 4.8$  mm. (a) 25 GHz. (b) 27 GHz. (c) 29 GHz. (d) 31 GHz. (e) 33 GHz. (f) 35 GHz.

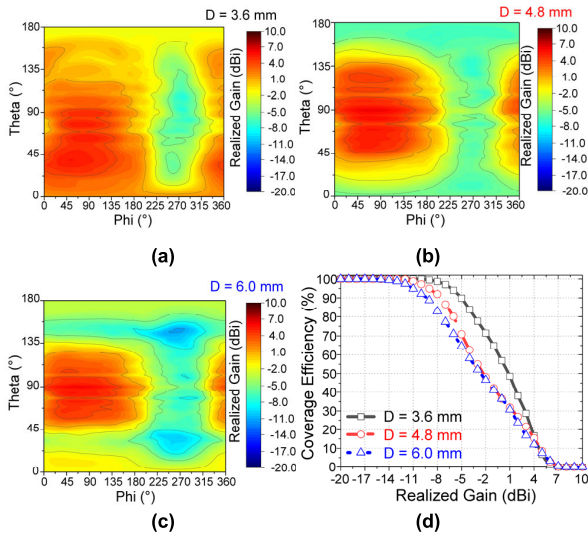
integrating 8-element CADA and in-phase power dividers in section II-B and Fig. 5(a). In other words, each antenna has been fabricated by using half of the back-to-back T-junction power dividers with the in-phase difference in Fig. 5(a). Meanwhile, to validate the beam scanning capability, three antenna samples, including power dividers with the pre-determined phased delay for each beam steering direction (boresight  $\pm$  maximum beam steering angle), have been fabricated as depicted in Fig. 9(b) [27], [28], [29], [47]. In addition, each antenna sample for  $\pm$  maximum beam steering angle has also been fabricated by using half of the back-to-back T-junction power dividers exhibiting the phased delay ( $\pm\Delta$ ) in Fig. 5(b) [47].

Figs. 10–12 presents the simulated and measured radiation patterns of the fabricated antennas with different inter-element spacing over a wide frequency range. Within a 3-dB scan loss, the antennas with 3.6 mm spacing exhibit more than  $140^\circ$  ( $\pm 70^\circ$ ) beam scanning coverage in the frequency range from 25 to 29 GHz and more than  $110^\circ$



**FIGURE 12.** Simulated & measured radiation patterns ( $E_{\theta}$ -pol.) of the fabricated antennas for beam steering test @  $D = 6.0$  mm. (a) 25 GHz. (b) 27 GHz. (c) 29 GHz. (d) 31 GHz. (e) 33 GHz. (f) 35 GHz.

( $\pm 55^\circ$ ) beam scanning coverage in the frequency range from 31 to 35 GHz. Meanwhile, the maximum scanning coverage of other spacing is narrower than that of 3.6 mm spacing. As depicted in the wide element spacing of Fig. 5, the large phase shift required between adjacent elements in the same beamforming codebook and the increasing insertion loss of the bulky feeding network consequently result in a large beam squint in an operating band [13], [14], [15], [38]. In particular, in Fig. 10(c), 11(c), and 12(c), the maximum beam scanning coverage of the proposed antenna within 3-dB scan loss at 29 GHz carrier frequency can exhibit  $140^\circ$  ( $\pm 70^\circ$ ),  $90^\circ$  ( $\pm 45^\circ$ ), and  $68^\circ$  ( $\pm 34^\circ$ ) at  $D = 3.6$  mm, 4.8 mm, and 6.0 mm, respectively. Thus, the unintended beam squint effect eventually results in narrow beam-scan coverage within a narrow operating band. Moreover, it is ascertained that the presented CADA with a 3.6 mm spacing can achieve a wide-angle scanning capability across the broadband spectrum, rather than other antennas with larger inter-element spacings, as illustrated in the simulation and measurement results of Figs. 10–12.



**FIGURE 13.** Simulated beam scan coverage (average value) of the designed array antennas at 25, 27, 29, 31, 33, and 35 GHz. (a) total scan patterns @  $D = 3.6$  mm. (b) total scan patterns @  $D = 4.8$  mm. (c) total scan patterns @  $D = 6.0$  mm. (d) coverage efficiency vs. realized gain.

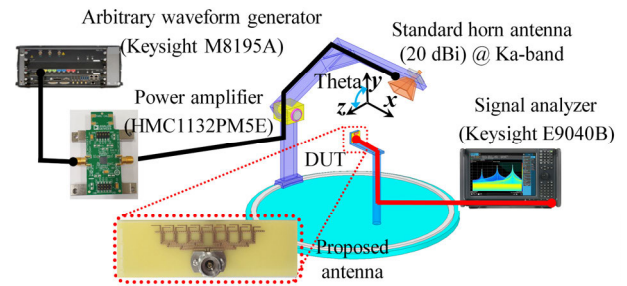
### B. TOTAL SCAN PATTERN AND COVERAGE EFFICIENCY

To investigate the wide beam scanning characteristics of the proposed CADA despite the single-layer FR-4 PCB process, the total scan patterns (TSPs) and coverage efficiencies over a wide frequency band should be evaluated [19], [27], [28]. As illustrated in Fig. 13, the beam scanning characteristics of the arrays designed with different inter-element spacings have been compared by using the average value of TSPs and coverage efficiencies for frequencies from 25 to 35 GHz.

The proposed CADA with 3.6 mm spacing exhibits quasi-isotropic spherical coverage within the more highly realized gain, despite high mutual coupling between antenna elements due to the compressed configurations. Moreover, it has been ascertained that the compressed array with 3.6 mm spacing could improve the realized gain (at a coverage efficiency of 50%) by more than 3.0 dB, compared to the array with 6.0 mm spacing, between 25 and 35 GHz.

### C. MEASURED OTA RESULTS

In this section, the RF signal performance test of the proposed CADA in the practical OTA environment is discussed by varying different modulation schemes, symbol rates, and beam scanning angles to investigate the optimal cell coverage [12], [13]. Representatively, an error vector magnitude (EVM) is one critical factor to discuss regarding the RF signal quality of the proposed CADA containing the 1by8 T-junction power dividers fabricated on a single-layer FR-4 substrate with highly lossy dielectric material [12], [13], [27], [29], [39]. In particular, the CADA with different inter-element spacings utilizing the compression effects in mmWave portable apparatus can be characterized and investigated for fast and precise optimized cell coverage by comparing both measured radiation patterns and over-the-air (OTA) performance results at the same time [12], [13], [29], [39].



**FIGURE 14.** EVM measurement setup of the fabricated antennas at 29 GHz.

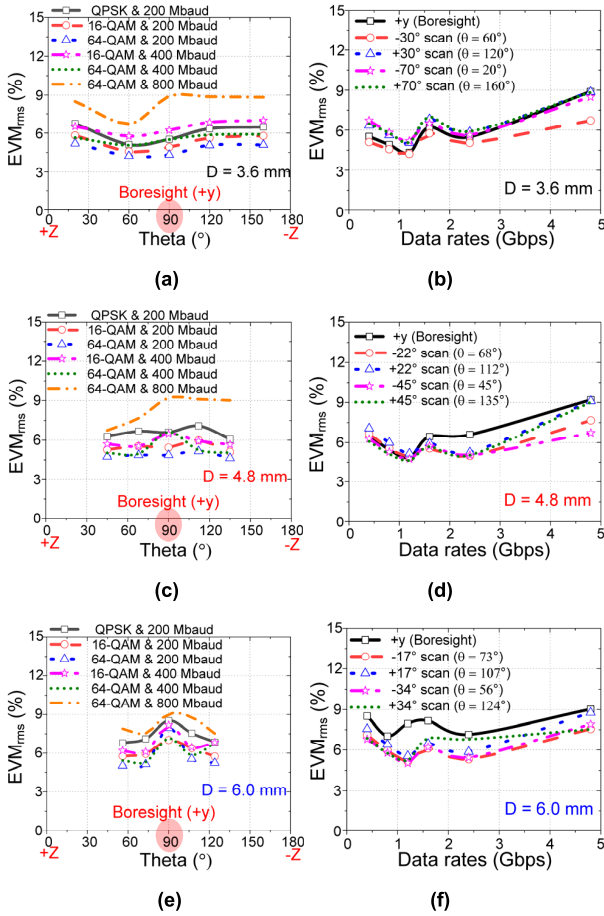
As depicted in Figs. 14-15, the system performance of several fabricated arrays consisting of different inter-element spacings and passively phased beamforming feeding networks is measured in various OTA environments and characterized. To realize highly effective isotropic radiated power (EIRP) with a good link budget condition at 75 cm of far-field distance during OTA performance tests, a commercial power amplifier (PA) based on a gallium arsenide (GaAs) pseudomorphic high electron mobility transistor (pHEMT) is utilized [40]. At that time, the setup linear gain and carrier frequency of the PA are always fixed at 23 dB and 29 GHz, respectively, due to the utilized operating bandwidth limitation (27~32 GHz) of the PA. Fig. 15 illustrates the measured EVM versus scan angles or data rates at 29 GHz using six combination examples with different modulation schemes and symbol rates (#1: QPSK and 200 MBaud, #2: 16-QAM and 200 MBaud, #3: 64-QAM and 200 MBaud, #4: 16-QAM and 400 MBaud, #5: 64-QAM and 400 MBaud, #6: 64-QAM and 800 MBaud). When Keysight M8195A arbitrary waveform generator (AWG) is utilized as a TX signal source, the created signal power is fixed to 3.5 dBm.

In Figs. 15(a)-(f), it has been ascertained that the proposed CADA with 3.6 mm inter-element spacing could receive 4.8 Gbps with < 9.0% EVM over a  $\pm 70^\circ$  scan angle range using 64-QAM and 800-MBaud. Moreover, using this modulation method, the array with 3.6 mm inter-element spacing could deliver higher data rates within the lowest and minimized standard deviation EVM compared to the other array configurations, despite its wide scan range. Thus, the measured OTA results exhibit good EVM performance for all modulation schemes with large symbol rates over wide scan ranges as compared to mmWave 3GPP standard specifications [39].

### D. COMPARISON OF STATE-OF-THE-ART ANTENNA ARRAYS FEATURING FAN-BEAM RADIATION CHARACTERISTICS TOWARD END-FIRE DIRECTION FOR mmWave PORTABLE APPLICATIONS

Table 1 presents a comparison with state-of-the-art antenna arrays featuring horizontally polarized and fan-beam characteristics toward the end-fire direction for the mmWave portable applications. For the first time, the proposed antenna array demonstrates wide-angle scanning capability over a broadband spectrum. This capability is achieved due to high





**FIGURE 15.** Measured OTA results for various inter-element spacing with different modulation formats at 29 GHz. (a) EVM vs. scan angle @  $D = 3.6$  mm. (b) EVM vs. data rate @  $D = 3.6$  mm. (c) EVM vs. scan angle @  $D = 4.8$  mm. (d) EVM vs. data rate @  $D = 4.8$  mm. (e) EVM vs. scan angle @  $D = 6.0$  mm. (f) EVM vs. data rate @  $D = 6.0$  mm.

mutual coupling via leaky-wave behavior between the 1-D linear array elements.

There has been considerable research and literature on the figure of merit (FoM) for phased array antenna design in mmWave portable applications [1], [2], [3], [49]. However, evaluating and standardizing the performance of various fabrication process-based antenna designs remains challenging due to multiple material platforms, design trade-offs, essential antenna parameters, and form factors. Nevertheless, the typical FoM for compact mmWave antennas with hemispherical coverage for portable applications is given by equation (1):

$$FOM_{Ant.} = \frac{\Omega_{scan}}{\Omega_{Half-sphere}} \cdot \frac{\Delta\omega}{\omega_0} \cdot \frac{\lambda_0^3}{Volume} \quad (1)$$

where  $\Omega_{scan}/\Omega_{Half-sphere}$  is the maximum 3-dB beam scanning range divided by half-spherical space at the operating frequency, and  $\Delta\omega/\omega_0$  is the fractional operating bandwidth within the 3-dB beam scanning range [49].

Despite the usage of FR-4 PCB process and the compressed configurations without any auxiliary circuits (e.g., balun and decoupling circuits), the proposed antenna arrays

**TABLE 1.** Comparison with the state-of-the-art antenna arrays featuring fan-beam radiation characteristics toward end-fire direction for mmWave portable applications.

|                                                | [19]                                                         | [20]                                                                       | [28]                                                                                                        | This work                                                                                                   |
|------------------------------------------------|--------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| Antenna type (Feeding type)                    | Two-sided dipole arms (differential stripline without balun) | Tightly coupled dipole (Balun and Wilkinson power divider)                 | ILA with HIS (T-junction power divider without balun)                                                       | Compact and asymmetric dipole (T-junction power divider without balun)                                      |
| Fabrication process                            | Multi-layer PCB (Taconic RF-30)                              | Multi-layer PCB (Taconic RF-35)                                            | Single-layer PCB (FR-4)                                                                                     | Single-layer PCB (FR-4)                                                                                     |
| Coupling mechanism of a linear array           | Surface current reduction via open loop mode                 | Surface-wave interaction between elements                                  | HIS properties via slow-wave behavior                                                                       | Traveling-wave mechanism via leaky-wave behavior                                                            |
| Dielectric constant                            | 3                                                            | 3.5                                                                        | 4.4                                                                                                         | 4.4                                                                                                         |
| Loss tangent                                   | 0.0014                                                       | 0.0018                                                                     | 0.032                                                                                                       | 0.032                                                                                                       |
| Antenna Volume ( $\lambda_0^3$ @ center freq.) | 0.143                                                        | 0.137                                                                      | 0.027                                                                                                       | 0.025                                                                                                       |
| 3-dB beam scan range @ operating frequency     | $\geq 120^\circ$ ( $\pm 60^\circ$ ) @ 25, 27, 29, 31, 33 GHz | $\geq -30^\circ - 0^\circ$ @ 24 GHz*, $\geq -27^\circ - 0^\circ$ @ 28 GHz* | $\geq 140^\circ$ ( $\pm 70^\circ$ ) @ 26, 28, 30 GHz, $\geq 110^\circ$ ( $\pm 55^\circ$ ) @ 32, 34, 36 GHz, | $\geq 140^\circ$ ( $\pm 70^\circ$ ) @ 25, 27, 29 GHz, $\geq 110^\circ$ ( $\pm 55^\circ$ ) @ 31, 33, 35 GHz, |
| FoM <sub>Ant.</sub>                            | 1.286 (=120/180*8/29*0.143)                                  | 0.374 (=60/180*4/26*0.137)                                                 | 7.301 (=110/180*1/0/31*0.027)                                                                               | 8.148 (=110/180*1/0/30*0.025)                                                                               |

\*The 3 dB beam scan range in the reference paper are reported about the measured results in only half space of hemispherical area.

can exhibit higher FoM than that compared to state-of-the-art antenna arrays, as presented in Table 1 [19], [20], [28]. In addition, the proposed array using an extremely low-cost fabrication process realizes quasi-isotropic spherical coverage across a broadband spectrum and good EVM performance at high data rates.

#### IV. CONCLUSION

In this paper, a mmWave CADA based on a single-layer FR-4 PCB for wide-angle scanning capability over a wide bandwidth is proposed and characterized. First, the proposed CADA has been demonstrated to exhibit a high coupling level by a leaky-wave radiation behavior in a 1-D linear array, despite the absence of any balun and decoupling structures. Then, the proposed array can achieve hemispherical beam coverage across a broadband spectrum and good EVM performance, due to the low-loss characteristics of the compressed feeding networks. Thus, due to cost-effective fabrication technology, the presented array can serve as a promising candidate for mmWave 5G global service in mobile devices.

In addition, despite the absence of additional circuits within the compressed configurations, this design methodology can be extended to mmWave on-glass or optically transparent antennas for B5G applications and sub-THz

antenna-on-chip (AoC) for 6G applications to realize quasi-spherical coverage of a wide bandwidth [41], [42].

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