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Charging Effect by Fluorine-Treatment and Recess Gate for Enhancement-Mode on AlGaN/GaN High Electron Mobility Transistors

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Abstract: An enhancement-mode AlGaN/GaN metal-insulator-semiconductor high-electron-mobilitytransistor was fabricated using a recess gate and CF_4 plasma treatment to investigate its reliable applicability to high-power devices and circuits. The fluorinated-gate device showed hysteresis during the DC current-voltage measurement, and the polarity and magnitude of hysteresis depend on the drain voltage. The hysteresis phenomenon is due to the electron trapping at the Al₂O₃/AlGaN interface and charging times longer than milliseconds were obtained by pulse I-V measurement. In addition, the subthreshold slope of the fluorinated-gate device was increased after the positive gate bias stress because of the two-dimensional electron gas reduction by ionized fluorine. Our systematic observation revealed that the effect of fluorine ions should be considered for the design of AlGaN/GaN power circuits.

Keywords: AlGaN/GaN HEMTs; enhancement-mode; fluorinated-gate; recessed gate

1. Introduction

AlGaN/GaN high electron mobility transistors (HEMTs) have recently demonstrated to be excellent devices for high-frequency and high-power electronics, thanks to the high breakdown voltage [1] and the low on-state resistance [2] and gate leakage [3]. The AlGaN/GaN HEMTs show normally on operation, since the two-dimensional electron gas (2DEG) channel is generated by the spontaneous and piezoelectric polarization at the AlGaN/GaN hetero-interface [4]. Various methods for enhancement mode (E-mode) device manufacturing through modulating the threshold voltage (V_T) have been studied for the reduction in power consumption and circuit producing, such as an inverter using a depletion mode (D-mode) and an E-mode device [5–9]. The AlGaN barrier recess and fluorine ion implantation methods had been widely studied to shift the V_T in the positive direction.

Although these methods modulate the V_T , the gate modifications introduce side effects resulting from the surface damage during the device fabrication process [10–12], and the electrical and frequency characteristics are degraded due to the poor interface conditions [13]. Various methods have been studied to improve the recessed interface condition [14,15]. However, hysteresis and V_T instability remain critical issues so far. In this perspective, it is necessary to better understand the



degradation mechanisms and the trends of changing electrical properties related to charge trapping for reliable application.

In this study, the V_T was modulated by the gate recess and fluorine treatment employing CF₄ plasma. The fluorinated-gate device (7-nm thick gate recess + fluorine plasma treatment) exhibited more positive-shifted V_T compared to the recess only gate device (7-nm thick gate recess). However, hysteresis of the fluorinated-gate device occurred during the DC current-voltage (I-V) measurement and the hysteresis depended on drain voltage (V_D). We demonstrated that the cause of hysteresis was the charge trapping/detrapping effect introduced at the dielectric (Al₂O₃) and fluorinated AlGaN interface and gate leakage characteristics corresponding to V_D. The increase in the subthreshold slope (SS) of the fluorinated-gate device after positive gate bias stress indicated the degradation in the AlGaN/GaN interface and the decrease in 2DEG density. As a result, we have revealed the effect of fluorine treatment on the dielectric/AlGaN and AlGaN/GaN interfaces through experimental evidence.

2. Device Fabrication and Electrical Characterization

The AlGaN/GaN heterostructure was grown by metal-organic chemical vapor deposition (MOCVD) on a sapphire substrate, which consists of a 21-nm AlGaN (26% Al) barrier with a 2-nm GaN cap layer. The Ti/Al/Ni/Au ohmic contact was formed by an E-beam evaporator and annealed at 900 °C for 150 s. After device isolation via phosphorus implantation, a 50-nm SiN passivation layer was deposited by plasma-enhanced chemical vapor deposition (PECVD).

Subsequently, the gate recess area was defined by electron-beam (E-beam) lithography and then the SiN was removed via an inductively-coupled plasma (ICP) etch. In order to obtain the etch rate of the gate region using a digital etch process, O₂ plasma ashing (3 min) and 1:10 hydrochloric acid (HCl) dipping (1 min) were repeated 15 times in the test sample. An etch rate of 6.6 A/cycle was obtained (in Figure 1a). The digital etches of 10 and 21 cycles were conducted with the same etch condition and each device had an etch depth of about 7 and 14 nm. For the fabrication of fluorinated-gate devices, CF₄ plasma using reactive ion etching (RIE) was carried out for 30 s on the 7-nm recessed device. After that, Al₂O₃ with the thickness of 10 nm was deposited at 300 °C by atomic layer deposition (ALD). A T-shaped Ni/Au gate electrode was deposited by an E-beam evaporator. D-mode metal-insulator-semiconductor HEMTs (MIS-HEMTs) without gate recess and fluorine treatment were also prepared as a reference device. Figure 1b illustrates the cross-sectional view of the fabricated E-mode Al₂O₃/AlGaN/GaN MIS-HEMTs with a recessed gate. The fabricated device geometries are $L_G = 0.25 \ \mu m$, $W_G = 50 \ \mu m$, $L_{GD} = 3.5 \ \mu m$ and $L_{GS} = 1 \ \mu m$. The DC I–V, pulse I–V measurement and stress application (for repetition of stress and measurement) were performed using a Keithley 4200-SCS parameter analyzer. The delay between the stress and measurement was less than tens of milliseconds. Capacitance of the device was measured using an Agilent 4294A impedance analyzer. The voltage was applied to the gate while the source and drain were grounded.



Figure 1. The fabricated AlGaN/GaN MIS-HEMTs on sapphire substrate. Recessed gate was formed under gate region for V_T modulation. (a) The TEM image of the recessed gate. The AlGaN layer was etched by the digital etch process in 15 cycles. (b) Schematic cross-section of the processed device. (c) Band diagram of MIS-HEMTs under equilibrium condition. (d) Band diagram of MIS-HEMTs after CF₄ treatment under equilibrium condition.

3. Results and Discussion

Figure 2 shows the I-V transfer curves and transconductance (g_m) of AlGaN/GaN MIS-HEMTs with various gate condition. The V_T moves in the positive direction from the V_T of D-MIS-HEMT after the 7-nm gate recess and fluorine treatment, and the V_T further shifted after the 14-nm gate recess. The V_T depends on the barrier thickness, since the 2DEG concentration and electron mobility reduce with the decrease in AlGaN barrier thickness [16]. The fluorine treatment modulates the V_T by incorporating negatively charged fluorine ions into the AlGaN barrier. As a result, the V_T of D-MIS-HEMT, 7-nm recess, 7-nm recess + CF₄ and 14-nm recess were -6.5, -5.2, -2.5 and +0.5 V, respectively. The V_T can be expressed as an Equation (1) [17]. The t_b denotes the barrier thickness, φ_b is the barrier height, *q* is the electron charge, σ_p is the 2DEG sheet charge density, N_F is the density of ionized fluorine atoms, X_F is the triangular fluorine ion distribution peaked at the surface and extending to a given depth and ε and ΔE_c are the dielectric constant and conduction band offset, respectively.

$$V_T = \varphi_b - \left[\frac{q\left(\sigma_{\rm p}t_b - \frac{N_F X_F^2}{6}\right)}{\varepsilon}\right] - \Delta E_c \tag{1}$$

However, the g_m of the 7-nm recess + CF₄ device decreased sharply after maximum value in comparison with other devices. It is attributed to the electron trap in the AlGaN barrier under the gate region by the fluorine treatment [18]. The 7-nm recess + CF₄ device shows hysteresis and it depends on the V_D, as shown in Figure 3a. The characteristics of recessed gate (7-nm recess) and fluorinated-gate devices (7 nm-recess + CF₄) were discussed to compare the fluorine treatment effect. For the hysteresis and V_D effect observation, the V_G increased in the forward direction (from the off-state to the on-state) and then decreased again in the reverse direction (from the on-state to the off-state) during the DC

I-V measurement for various V_D. When the V_D is increased from 1 V to 10 V and returned to 1 V, the hysteresis magnitudes (V_{Hysteresis}) are almost symmetric. The V_{Hysteresis} is the V_G difference at a specific I_D (= 1×10^{-7} A/mm). It shows that the DC I-V measurement does not cause permanent charging. However, when the V_D is higher than 5 V, the direction of hysteresis becomes negative and the V_{Hysteresis} enlarges with increasing V_D, as shown in Figure 3c. On the contrary, when the V_D is lower than 5 V, the direction of hysteresis is relatively small. The negligible hysteresis of recessed gate device without fluorine treatment was achieved (Figure 3b,d).



Figure 2. The I-V transfer curves (solid line) and transconductance (open circle line) of AlGaN/GaN MIS-HEMTs with various gate conditions at $V_D = 10$ V. Black, blue, red and pink colors indicate the D-mode device, 7-nm gate recessed, 7-nm gate recessed and CF₄ treatment and 14-nm gate recessed device, respectively.



Figure 3. (**a**,**b**) The I-V hysteresis curves of 7-nm recess + CF₄ and 7-nm recess devices measured at $V_D = 2$ V and 8 V, respectively. The solid line and circle line indicate the forward and reverse sweep, respectively. (**c**,**d**) The voltage difference of 7-nm recess + CF₄ and 7-nm recess devices by hysteresis at various V_D . Red and blue regions show the positive and negative direction of hysteresis, respectively.

The V_D dependence of hysteresis in the fluorinated-gate device was investigated in more detail by comparing the gate leakage current (I_G) as a function of V_D in Figure 4a, and the band diagram and I_G flow direction are represented in Figure 4b. The I_G also depends on the V_D, and when the V_D is larger than 6 V, the polarity of the I_G is negative. This means that the electrons are trapped in the Al₂O₃/fluorinated-AlGaN barrier as they move from the gate metal to the channel along the I_G, and the V_T is negatively shifted. However, when the V_D is in between -2 V and 6 V, the polarity of I_G is positive, showing that some of electrons are detrapped from the Al₂O₃/fluorinated-AlGaN barrier to the gate metal and the V_T is slightly shifted to the positive direction.



Figure 4. (a) The gate leakage current of the 7-nm recess + CF_4 device for various V_D . The solid lines and open circles reflect the increase in V_D and decrease of V_D , respectively. (b) The band diagram of the 7-nm recess + CF_4 device. The blue and red arrows indicate the flow of gate current. The trapped charges at the dielectric/AlGaN interface reduce by positive gate current ($V_D < 5 V$) and the trapped charges increase by negative gate current ($V_D > 5 V$).

The charging time in the Al₂O₃/fluorinated-AlGaN barrier was studied through a triangular pulse I-V measurement with rising (t_R) and falling time (t_F) of 1 millisecond (ms). As shown in Figure 5a, the hysteresis and the V_D dependence of V_T were obtained only in the fluorinated-gate device, whereas insignificant hysteresis was achieved for pulse I-V measurements, and the V_T is hardly changed with V_D (Figure 5c). However, the transfer curve of recessed gate devices is almost identical regardless of the pulse I-V measurement (Figure 5b,d). Therefore, it implies that the electron trapping/detrapping in the Al₂O₃/fluorinated-AlGaN barrier is a very slow charging mechanism and the trapping/detrapping time is longer than ms.

To investigate the effect of fluorine in AlGaN under the gate bias stress, negative (-2V) and positive (+2 V) gate bias stresses were applied to devices. Although the V_T of devices is different, the same stress voltage was introduced to compare the effect of the stress polarity (Figure 6). The V_D of 5 V was used as a condition to monitor the electrical characteristics of the devices and to minimize the charging effects that occurred during DC I-V measurements. The direction of V_T shift under the negative gate bias stress is negative; by contrast, the positive direction of V_T shift under the positive gate bias stress is positive, and this phenomenon is the same for both devices. It indicates the trapping (or detrapping) of electrons under the negative (or positive) gate bias stress at the AlGaN/GaN barrier. The different directions of V_T shift under negative and positive gate bias stress have been analyzed with the same mechanism in many other studies [19–21]. However, in the fluorinated-gate device, the V_T moved more, even under other stress conditions ($V_{stress} = V_T + 1.0 V$ and $V_{stress} = +0.5, +1.0 V$), as shown in Figure 7a,b, due to the plasma-induced surface damage of the AlGaN barrier during fluorine treatment. In addition, the SS of the fluorinated-gate device is higher than that of the recessed gate device before stress. This indicates a higher interface state and is further evidence of the AlGaN surface damage caused by the CF₄ plasma process. Furthermore, the SS of the fluorinated-gate device increased with stress time under the positive gate bias stress, whereas the SS of the recessed gate device without fluorine hardly changed with stress time, even under negative and positive gate bias stress. To observe in more detail, the change in SS is represented in Figure 7c,d. There are two possible origins of the SS increase after gate bias stress. One is the 2DEG reduction. The accelerated electrons from the channel under the positive gate bias stress induce the ionization of fluorine in the AlGaN barrier [22], and the ionized fluorine atoms are capable of forming bonds with gallium atoms with dangling bonds at the interface. The formation of Ga–F bonds is able to weaken the 2DEG channel and AlGaN/GaN interface [23]. Another is the degradation of the AlGaN/GaN interfacial layer by accelerated electrons under positive gate bias stress. For metal-oxide-semiconductor field-effect transistors (MOSFETs), the increase in SS primarily reflects the degradation of the interfacial layer [24,25]. However, the recessed gate device without fluorine did not show an SS change, even under the positive gate bias stress. Therefore, the Ga–F bonding mechanism makes more sense than the interface degradation.



Figure 5. (**a**,**b**) The dual swept DC I-V transfer curve of 7-nm recess + CF_4 and 7-nm recess devices measured at various V_D . (**c**,**d**) Pulsed I-V curve of 7-nm recess + CF_4 and 7-nm recess devices measured for various V_D . The rising and falling time of 1 ms was used for pulse measurement.



Figure 6. (**a**,**b**) The change in I-V transfer curves of the 7-nm recess + CF_4 device under negative and positive gate bias stress conditions according to stress time, respectively. (**c**,**d**) The change in I-V transfer curves of the 7-nm recess device under negative and positive gate bias stress conditions, respectively.



Figure 7. The V_T shift by gate bias stress according to stress time. A (**a**) negative (V_T + 1.0 V) and (**b**) positive gate bias stress were applied to the devices. The red and black circle line indicate the 7-nm recess + CF₄ and 7-nm recess devices, respectively. (**c**,**d**) The change in the subthreshold slope for various gate bias stress corresponding to the stress time. The negative biases near the V_T and positive bias at 1.0 V and 2.0 V were used to estimate the degradation phenomenon.

The capacitance–voltage (C–V) curve was measured before and after positive gate bias stress at 1 MHz, as shown in Figure 8a, and the C–V curve moved to a positive direction and the slope of the C–V curve lowered. AlGaN/GaN interface degradation and reduction in 2DEG after positive gate bias stress is also shown. We represent the schematic degradation model of fluorinated-gate device in Figure 8b. At the Al₂O₃/AlGaN interface, the trapped charges in the border trap site and/or interface state induce hysteresis during DC I-V measurement. The fluorine ions ionized by accelerated electrons by positive gate bias, and formation of the Ga–F bonds induces the reduction in 2DEG at the AlGaN/GaN barrier.



Figure 8. (a) The change in capacitance of the 7-nm recess + CF_4 device before and after positive gate bias stress for 1000 s. The closed circle and opened circle indicate the capacitance before and after stress, respectively. (b) Schematic representation of the model used to explain the V_T shift of the 7-nm recess + CF_4 device. The black circle and red X represent the border traps and interface states, respectively.

4. Conclusions

An E-mode AlGaN/GaN HEMT was fabricated employing a gate recess and CF_4 plasma treatment, and the effect of fluorine in the device was investigated using an analysis of electrical characteristics. The fluorinated-gate device showed hysteresis during DC I-V measurement, which depends on the V_D . The pulse I-V measurement was used to observe the charge trapping/detrapping effect of fluorine, and the charging time is longer than a few ms. It was also figured out that the SS of the fluorinated-gate device increased due to the 2DEG reduction by fluorine ions and the formation of Ga–F bonds after positive gate bias stress. We highlight that the impact of fluorine ions should be taken into account for circuit design when the E-mode device is employed.

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