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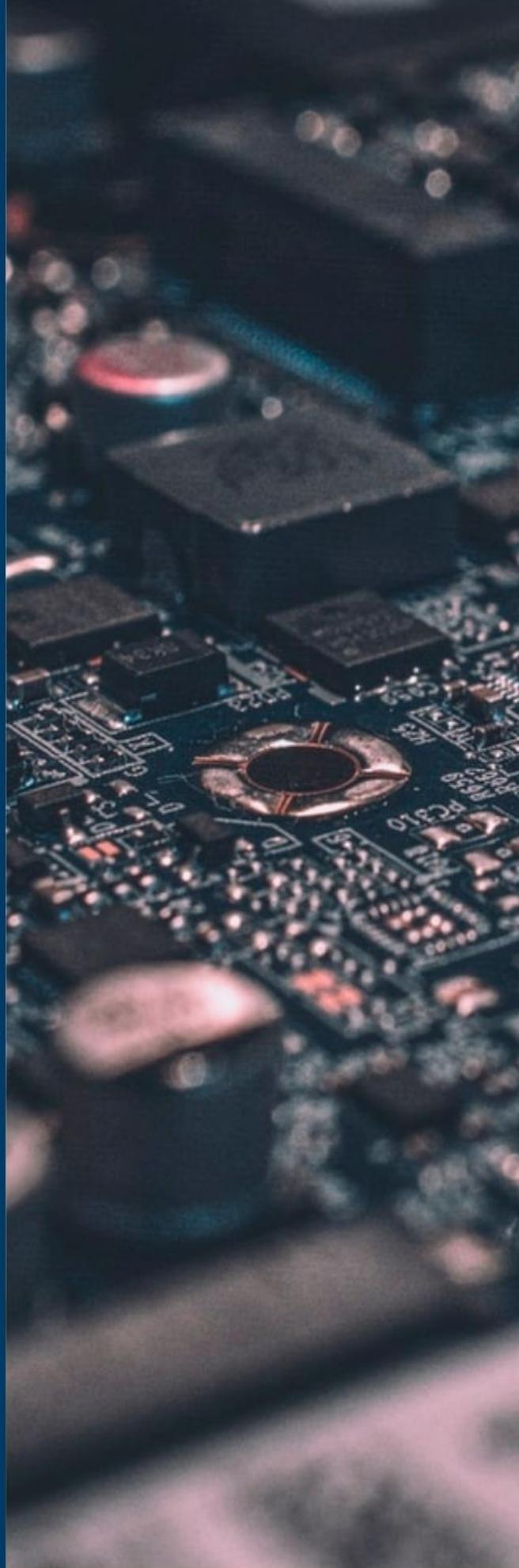
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# Optimized recess etching criteria for T-gate fabrication achieving $f_t = 290$ GHz at $L_g = 124$ nm in metamorphic high electron mobility transistor with $\text{In}_{0.7}\text{Ga}_{0.3}\text{As}$ channel

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The authors propose criteria for recess etching to fabricate T-gate used in InGaAs high electron mobility transistors (HEMTs). By patterning additional rectangular pads on the source and drain metals in the e-beam lithography step, it is possible to measure the drain-to-source resistance ( $R_{ds}$ ) and current ( $I_{ds}$ ). The ratio ( $\Gamma$ ) of before and after etching for each  $R_{ds}$  and  $I_{ds}$  can be used as criteria to determine the point in time to stop etching. By performing recess etching with  $\Gamma = 1.97$  for  $R_{ds}$  and  $\Gamma = 0.38$  for  $I_{ds}$  on an epiwafer having cap doping concentration of  $2 \times 10^{19} \text{ cm}^{-3}$  and channel indium content of 0.7, the authors have fabricated InGaAs metamorphic high electron mobility transistor (mHEMT) device showing  $g_{m,max} = 1603 \text{ mS/mm}$  and  $f_t = 290 \text{ GHz}$  at  $L_g = 124 \text{ nm}$ . The criteria presented can be applied to InGaAs HEMTs with various epitaxial structures.

**Introduction:** Metamorphic high electron mobility transistor (mHEMT) with InGaAs channel material based on GaAs substrate can be applied to monolithic microwave integrated circuit (MMIC) with high frequency [1]. Compared to the competing InP-based InGaAs HEMT, the mHEMT exhibits relatively lower performance but is more cost-effective due to cheaper substrate price, the ability to fabricate on larger wafers, and ease of handling [2]. Both InP-based HEMT and GaAs-based mHEMT can have various epitaxial structures, mainly differentiated by the presence or absence of an InP layer between the cap and barrier layers. Here, the InP layer acts as an etch stop layer during the recess etching process. After removing the cap layer through wet etching, it is possible to deposit Ti as the first layer of the gate metal stack on InP. However, it has been reported that higher gate current ( $I_g$ ) flows compared to Ti/InAlAs Schottky contact [3]. Even if it is feasible to selectively remove InP with dry etching [4], this approach results in damage to InAlAs barrier so that it is crucial to find the condition to minimize the damage. Also, although wet etching methods for selective removing InP have been reported [5], it is difficult to control lateral etching due to high etch rate. An alternative method involves depositing Pt/Ti/Pt/Au on InP and performing Pt sinking [6]. However, it is important to optimize the parameters such as thickness of bottom Pt layer, and the temperature and time for Pt sinking. On the other hand, not using an InP etch stop layer can avoid such issues, but there is a risk of etching into the channel during the recess etching process. To solve this problem, it is necessary to measure the drain-to-source resistance ( $R_{ds}$ ) and current ( $I_{ds}$ ) before and after etching to find proper time to stop the etching process. It can be done by developing additional recess pads on source and drain metals, which are coated by e-beam resists. In our previous works, we dealt with the uneven recess etch rate between fingers in multi-finger mHEMT [7] and the etching depth variation according to the foot width of the T-gate [8]. In this paper, we present criteria of performing citric acid-based electrochemical recess etching before T-gate metal evaporation to fabricate high-performance InGaAs HEMTs. We describe the fabrication process from mesa isolation to e-beam lithography for T-gate pattern formation, followed by the recess etching process. Based on the measurement results of transconductance ( $g_m$ ) and cut-off frequency ( $f_t$ ), we emphasize the importance of the ratios ( $\Gamma$ ) of before and after etching for  $R_{ds}$  and  $I_{ds}$ , which are criteria for optimization of recess etching.

**Fabrication process before recess etching:** To fabricate InGaAs HEMT device, we used 4-inch GaAs substrate and molecular beam epitaxy

Table 1. Epitaxial structure of InGaAs HEMT.

Layer	Material	THK	Level	Type
Cap	$\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$	20 nm	$2.0 \times 10^{19}/\text{cm}^3$	N+
Barrier	$\text{In}_{0.52}\text{Al}_{0.48}\text{As}$	2 nm	–	i
First $\delta$ -doping	Si	–	$5.5 \times 10^{12}/\text{cm}^2$	N
Barrier	$\text{In}_{0.52}\text{Al}_{0.48}\text{As}$	6 nm	–	i
Second $\delta$ -doping	Si	–	$6.0 \times 10^{12}/\text{cm}^2$	N
Spacer	$\text{In}_{0.52}\text{Al}_{0.48}\text{As}$	3 nm	–	i
Channel	$\text{In}_{0.7}\text{Ga}_{0.3}\text{As}$	10 nm	–	i
Buffer	$\text{In}_{0.52}\text{Al}_{0.48}\text{As}$	300 nm	–	i
m-buffer	GaAs - $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$	300 nm	–	i

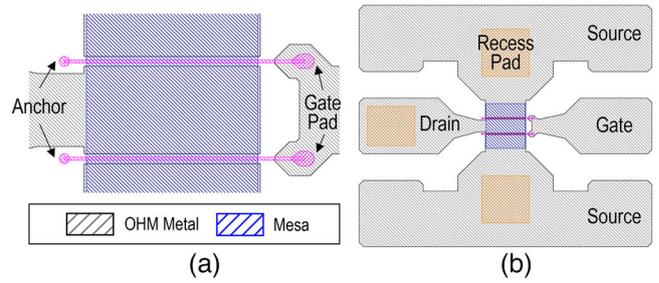
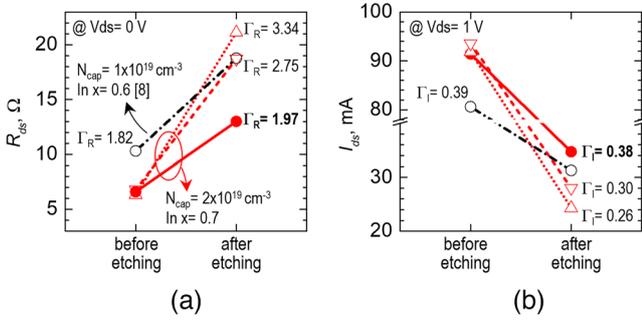


Fig. 1 Top views of design for HEMT device. (a) Anchor and gate pad added to the end of two-finger T-gate. (b) Recess pads for monitoring  $R_{ds}$  and  $I_{ds}$  during recess etch process. HEMT, high electron mobility transistor.

(MBE) to grow epitaxial structures as shown in Table 1. First, metamorphic buffer layer, which was used to offset the lattice mismatch between GaAs and  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  buffer layer, was grown on the semi-insulating GaAs substrate by changing its In content ( $x$ ) from  $x = 0$  to 0.52. Then,  $\text{In}_{0.7}\text{Ga}_{0.3}\text{As}$  of 10 nm was formed to be used as a channel on  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  buffer layer of 300 nm. The carrier density and channel mobility ( $\mu_{ch}$ ) were  $2.9 \times 10^{12} \text{ cm}^{-2}$  and  $10,710 \text{ cm}^2/\text{V}\cdot\text{s}$ , respectively. Next, Si  $\delta$ -doping of  $6 \times 10^{12} \text{ cm}^{-2}$  was applied to supply electrons to the channel upon  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  spacer of 3 nm. Another Si  $\delta$ -doping of  $5.5 \times 10^{12} \text{ cm}^{-2}$  was applied in  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  barrier to enhance conductivity. Finally, the  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  cap layer of 20 nm doped with Si of  $2 \times 10^{19} \text{ cm}^{-3}$  was formed on the  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  barrier to fabricate Ohmic contacts of source and drain electrodes. The epitaxial structure designed by our research group was produced by IntelliEPI Inc. and its sheet resistance was  $77.8 \Omega/\text{sq}$ . By using this 4-inch epiwafer, mesa isolation was firstly conducted. Each HEMT device was separated by mesa having a thickness of 200 nm, which were etched by the solution of  $\text{H}_3\text{PO}_4:\text{H}_2\text{O}_2:\text{H}_2\text{O} = 1:1:40$ . As a result of transmission line measurement (TLM) with a gap of  $5 \mu\text{m}$  between  $100 \times 100 \mu\text{m}$  mesa pads,  $61 \text{ G}\Omega$  was measured, and  $65 \text{ pA}$  was flowed at 2 V, which confirms that mesa-to-mesa isolation was properly achieved. To fabricate Ohmic contact serving as a source and drain, Mo/Ti/Pt/Au was deposited by using e-beam evaporator. The source-to-drain distance of the device was designed to be  $2 \mu\text{m}$ . Also, the device was designed as a two-finger T-gate with a total gate width of  $100 \mu\text{m}$ . To measure the resistance of Ohmic contact, patterns with interval of  $5/10/20/40/80 \mu\text{m}$  were made. As a result of TLM measurement, a contact resistance ( $R_c$ ) of  $0.078 \Omega\cdot\text{mm}$  was measured. After coating PMMA-based tri-layer to make T-gate patterns, e-beam lithography using Raith EBPG 5000 was performed. In addition, ‘anchor’ and ‘gate pad’ were added to the end of each T-gate pattern to ensure structural stability, as shown in Figure 1a.

**Gate recess etching:** Before evaporating T-gate metal stacks, recess etching for InGaAs and InAlAs makes it possible to improve gate controllability so that higher  $g_m$  is secured. As shown in Figure 1b, rectangular pads were additionally exposed on the source and drain metals in the e-beam lithography step [7, 8]. These ‘recess pads’ should have a size enough to contact probe tips. In this paper, recess pads were designed to be  $60 \times 60 \mu\text{m}$  and  $50 \times 60 \mu\text{m}$  on each source and drain electrode,



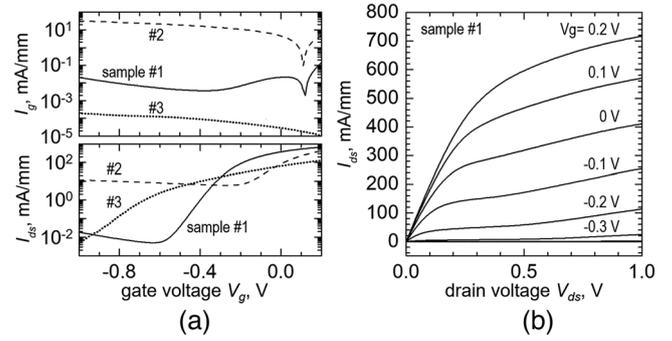
**Fig. 2** Measurement results of  $R_{ds}$  and  $I_{ds}$  for mHEMTs before and after recess etching process. (a)  $R_{ds}$  when biasing  $V_{ds}=0$  V. (b)  $I_{ds}$  when biasing  $V_{ds}=1$  V. mHEMT, metamorphic high electron mobility transistor.

respectively. Because etching depth varies depending on the size of the recess pad [7], it is important to design the pads to have similar sizes. By using developed recess pads, it is possible to monitor  $R_{ds}$  and  $I_{ds}$  for biased drain voltage ( $V_{ds}$ ). Accordingly, it is feasible to find optimal point in time to stop etch and keep from etching of second Si  $\delta$ -doping layer on the  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  spacer. Also, by using citric acid-based etchant, the  $\text{InGaAs}/\text{InAlAs}$  layers can be electrochemically etched because potential difference occurs between  $\text{InGaAs}/\text{InAlAs}$  layer opened by foot pattern and Ohmic metal opened by recess pad. In the same way as our previous paper [8], an etchant comprising citric acid (10 g), hydrogen peroxide (1 mL), and water (430 mL) was used and maintained its temperature at 32°C. To find an appropriate point in time to stop etching, we compared the experimental results for two different epitaxial structures, which have the same thickness of  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  cap and  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  barrier/spacer, but differ in cap doping concentration ( $N_{cap}$ ), the number of Si  $\delta$ -doping layers, and In content of channel. Figure 2 shows the  $R_{ds}$  at  $V_{ds}=0$  V and the  $I_{ds}$  at  $V_{ds}=1$  V for these two epitaxial structures. Comparing  $R_{ds}$  and  $I_{ds}$  before etching for  $N_{cap}=1 \times 10^{19} \text{ cm}^{-3}$  (dash-dotted line [8]) and  $N_{cap}=2 \times 10^{19} \text{ cm}^{-3}$  (solid line, this work), high  $N_{cap}$  reduces  $R_{ds}$  and increases  $I_{ds}$ . In the pre-etching epitaxial structure, the current flows more through the cap layer, which has a lower resistance compared to the channel layer. The  $R_{ds}$  gradually increases as the thickness of the cap layer decreases by recess etching so that  $I_{ds}$  decreases. Even if the cap layer is completely etched and the  $\text{InAlAs}$  barrier is revealed,  $R_{ds}$  does not change abruptly and keep gradually increasing because Si  $\delta$ -doping layers supply electrons to the channel layer and form current path. At this stage, assuming the same etching depth for both epi-structures, higher  $N_{cap}$  and dual Si  $\delta$ -doping layers result in a lower series resistance. Additionally, the increased mobility due to higher channel In  $x$  content leads to an increase in  $I_{ds}$ . Finally, when the Si  $\delta$ -doping layer on the spacer is etched, the resistance sharply increases and the current abruptly decreases. In the previous work, we stopped to etch when  $R_{ds}=18.7 \Omega$  and  $I_{ds}=31.3 \text{ mA}$  were measured, and the resultant device showed good performance [8]. Here, the ratios ( $\Gamma$ ) of before and after etching for each  $R_{ds}$  and  $I_{ds}$  can be defined in equations as follows:

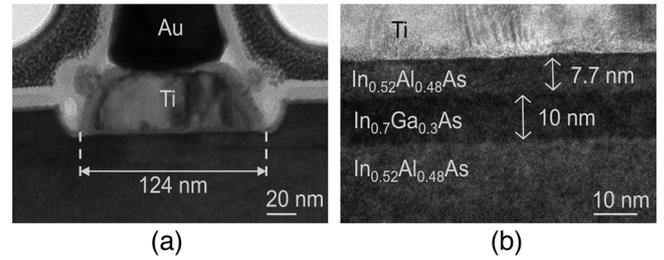
$$\Gamma_R = \frac{R_{ds,i=0}}{R_{ds,i=n}}, \quad \Gamma_I = \frac{I_{ds,i=0}}{I_{ds,i=n}} \quad (1)$$

where  $i=0,1,2,\dots,n$  is integer and  $n$  means the number of times etching has been performed. In the case of previous work,  $\Gamma_R=1.82$  and  $\Gamma_I=0.39$ . Referring to these ratios, in this work, etching was stopped when  $R_{ds}=13 \Omega$  and  $I_{ds}=34.7 \text{ mA}$  (sample #1, solid line) so that  $\Gamma_R=1.97$  and  $\Gamma_I=0.38$ . Additionally, for other wafers (sample#2 and #3) with the same epi-structure of #1, more etching was carried out. In the case of sample #2 (dashed line), etching was stopped when  $R_{ds}=18.7 \Omega$  and  $I_{ds}=28 \text{ mA}$ , yielding  $\Gamma_R=2.75$  and  $\Gamma_I=0.3$ . Lastly, for sample #3 (dotted line), additional etching was performed compared to #2, resulting in  $R_{ds}=21.1 \Omega$  and  $I_{ds}=24.3 \text{ mA}$  so that  $\Gamma_R=3.34$  and  $\Gamma_I=0.26$ . Following the etching process, the gate stack of  $\text{Ti}/\text{Au}=40/400 \text{ nm}$  were evaporated on patterns of T-gate and recess pads.

**Electrical characteristics:** Figure 3 illustrates the device performances by measuring with Keysight HP4142 and Cascade on-wafer probe station. The measurement results of sample #1 after recess etching with



**Fig. 3** Electrical characteristics of mHEMT after T-gate fabrication. (a) Measured  $V_g$ - $I_g$  plot and  $V_g$ - $I_{ds}$  plot when biasing  $V_{ds}=0.8$  V. (b) Measured  $V_{ds}$ - $I_{ds}$  plot for sample #1. mHEMT, metamorphic high electron mobility transistor.

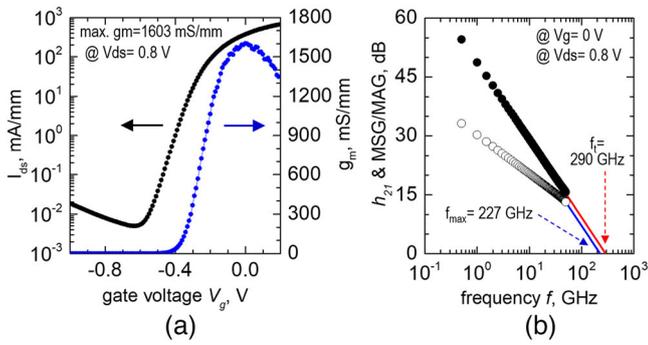


**Fig. 4** Cross-sectional TEM images of sample #1. (a) T-gate foot deposited on recessed  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  layer. (b) Magnified TEM image showing epitaxial structures below T-gate foot. TEM, transmission electron microscopy.

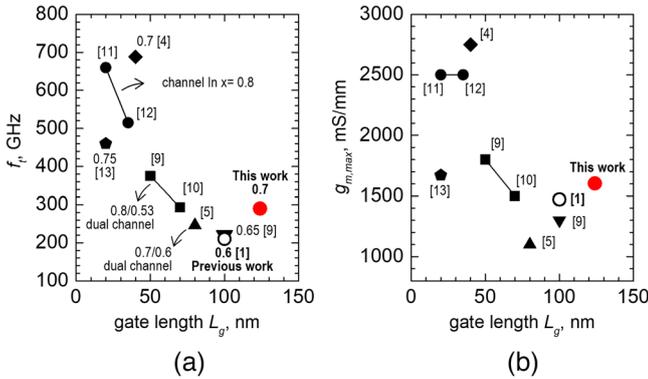
$V_{ds}=0.8$  V show acceptable on/off current ratio of  $4 \times 10^4$  with  $I_{ds}=1.8 \times 10^{-2} \text{ mA/mm}$  at  $V_g=-1$  V and  $I_{ds}=678 \text{ mA/mm}$  at  $V_g=0.2$  V (see Figure 3a). Also, by measuring sample #1 within the voltage range of  $V_g=-1$  to  $0.2$  V and  $V_{ds}=0$  to  $1$  V, we confirmed its good pinch-off performance (see Figure 3b). In the case of overetched sample #2, it exhibited a poor on/off ratio of 40 with  $I_{ds}=12 \text{ mA/mm}$  at  $V_g=-1$  V and  $I_{ds}=432 \text{ mA/mm}$  at  $V_g=0.2$  V. This can be attributed to the overetching that excessively approached the Si delta doping layer on the spacer, leading to the occurrence of a high  $I_g$  (see Figure 3a). Lastly, considering the more extensively etched sample #3, the  $I_g$  decreased to  $1.9 \times 10^{-4} \text{ mA/mm}$ , and the on current decreased to  $128 \text{ mA/mm}$  at  $V_g=0.2$  V. This suggests that even the Si  $\delta$ -doping layer, which supplies electrons, has been etched. Figure 4 shows the results of transmission electron microscopy (TEM) using Tecnai F30 S-Twin after completing T-gate fabrication for sample #1. The final gate length (i.e. gate foot width) is evaluated as about 124 nm (see Figure 4a). By magnifying TEM to make the epitaxial structures more visible, it can be observed that  $\text{In}_{0.7}\text{Ga}_{0.3}\text{As}$  channel on  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  buffer has a thickness of 10 nm, as designed (see Figure 4b). Above the channel, it is noticeable that the thickness of  $\text{In}_{0.52}\text{Al}_{0.48}\text{As}$  remaining after recess etching is about 7.7 nm. This means that the T-gate was deposited on 4.7 nm from the second Si  $\delta$ -doping layer, so it can be evaluated that the recess etching was properly performed to suppress the gate leakage. Also, as the vertical etching depth increases, the lateral etching width also widens. This characteristic of isotropic etching is consistent with the TEM images from our previous works [7, 8], indicating good controllability and reproducibility.

From the measurement results of sample #1, the threshold voltage ( $V_{th}$ ) and maximum transconductance ( $g_{m,max}$ ) of  $-0.47$  V and 1603 mS/mm were extracted, respectively (see Figure 5a). Subsequently, the S-parameter for the frequency range of 0.5 to 50 GHz was measured using a Keysight N5245A microwave network analyzer while  $V_g=0$  V and  $V_{ds}=0.8$  V were applied to the same device. The radio frequency characteristics of current gain ( $h_{21}$ ) and MSG/MAG are shown in Figure 5b. By extrapolating with a line of  $-20$  dB/decade,  $f_t=290$  GHz and  $f_{max}=227$  GHz were extracted.

Figure 6 describes comparison between these results ( $f_t$  and  $g_{m,max}$ ) and the other values reported by various research groups. All references dealt with mHEMTs for channel In  $x$  range from 0.6 to 0.8. Firstly, we obtained a higher cut-off frequency of 290 GHz at longer gate length



**Fig. 5** Electrical characteristics of mHEMT (sample #1). (a) Measured  $V_{gs}$ – $I_{ds}$  plot and extracted  $g_m$  curve at  $V_{ds} = 0.8$  V. (b) Calculated  $h_{21}$  and extrapolated line to find  $f_i$ . mHEMT, metamorphic high electron mobility transistor.



**Fig. 6** Comparison of our results with other reported values for mHEMTs. (a)  $L_g$ – $f_i$  plot for results comparison. (b)  $L_g$ – $g_{m,max}$  plot for results comparison. mHEMT, metamorphic high electron mobility transistor.

of 124 nm compared to the results of our previous work ( $f_i = 210$  GHz at  $L_g = 100$  nm [1]). Considering that recess etching was carried out properly for both devices, one of the main reasons for the difference in performance is epitaxial structure changed its channel in content from 0.6 to 0.7 and  $N_{cap}$  from  $N_{cap} = 1 \times 10^{19} \text{ cm}^{-3}$  to  $N_{cap} = 2 \times 10^{19} \text{ cm}^{-3}$ .

Next, in comparison with the results from other research groups, the  $f_i = 290$  GHz obtained in this paper is higher than that of devices with  $L_g = 100$  nm [9] and  $L_g = 80$  nm [5], which have  $f_i$  values of 220 GHz and 246 GHz, respectively. Also, the  $f_i$  performance in this paper is comparable to the device reporting  $f_i = 293$  GHz at  $L_g = 70$  nm [10]. To analyse the reasons, it is necessary to perform a detailed analysis for each case because different epitaxial structures or device designs were used by each research group. However, a simple overview of all cases reveals that, as shown in Figure 6b, our result shows relatively high  $g_{m,max} = 1603$  mS/mm, which leads to the high  $f_i$  value according to the equation of  $f_i = g_m / 2\pi(C_{gs} + C_{gd})$ . Hence, it can be evaluated that the optimal recess etching performed in this study led to a sufficient  $g_m$  potentially achievable by the device design and epitaxial structure.

**Conclusion:** We have demonstrated the recess etching technique for T-gate fabrication in InGaAs mHEMTs. By opening additional recess pads on the source and drain metals, it is possible to find the optimal point in time to stop etching by measuring  $R_{ds}$  and  $I_{ds}$ . Specifically, by referring the criteria of  $\Gamma_R = 1.82$  and  $\Gamma_I = 0.39$  for epiwafer having  $N_{cap} = 1 \times 10^{19} \text{ cm}^{-3}$  and channel In  $x = 0.6$ , we have adjusted the criteria and performed recess etching to be  $\Gamma_R = 1.97$  and  $\Gamma_I = 0.38$  for epiwafer having  $N_{cap} = 2 \times 10^{19} \text{ cm}^{-3}$  and channel In  $x = 0.7$ . As a result, we have fabricated mHEMT device showing  $g_{m,max} = 1603$  mS/mm and  $f_i = 290$  GHz at  $L_g = 124$  nm.

**Author contributions:** Jong Yul Park: Conceptualization; Data curation; Formal analysis; Investigation; Methodology; Visualization; Writing – original draft; Writing – review & editing. Byoung-Gue Min: Conceptualization; Formal analysis. Jong-Min Lee: Conceptualization; Formal analysis. Woojin Chang: Conceptualization; Methodology. Dong Min Kang: Project administration; Resources; Funding acquisition; Supervision. E-San Jang: Data curation; Investigation. Junhyung Kim: Data curation; Investigation. Jeong-Gil Kim: Formal analysis.

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**Conflict of interest statement:** The authors declare no conflict of interest.

**Data availability statement:** The data that support the findings of this study are available from the corresponding author upon reasonable request.

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